

09-04-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 09792909-5128

First Named Inventor or Application Identifier

Ichiro Futamura

Express Mail Label No: EL834557364US

(Only for new non-provisional applications under 37 CFR 1.53(b))

 ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 242
2. ☒ Drawing(s) (35USC 113) Total Pages 89
3. ☒ Declaration and Power of Attorney Total Pages 3
- a. ☐ Unexecuted(original or copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

- i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting Inventor(s) named in the prior

application, see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which

a  
copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☒ Assignment Papers (cover sheet & documentation)  
Sony Corporation
6. ☐ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document to follow (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application, Statement(s) Status still proper and desired
12. ☒ Certified copy of Japanese priority document No. P2000-264518 filed August 31, 2000

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

## CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
				\$710.00
TOTAL CLAIMS	29	9	\$18.00	135.00
INDEPENDENT CLAIMS	3		80.00	0.00
ANY MULTIPLE DEPENDENT CLAIMS?			270.00	0.00
<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			TOTAL FEE	\$845.00

\* ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$845.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal  
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David R. Metzger (Reg. No. 32,919)

Date: August 30, 2001

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I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Ichiro Futamura, Yoshihito Ishibashi, Shinako Matsuyama  
Masashi Kon and Hideaki Watanabe

Attorney Docket No. 09792909-5128

\$845.00 filing fee (29 claims - 3 independent)

Drawings (89 sheets - Figs. 1-87)

Executed Declaration

Executed Assignment, PTO Form 1595 and \$40.00 recording fee

Certified copy of Japanese priority document No. P2000-264518

Return postcard

  
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Signature of Person Mailing Application and Fees